

**BLAKELY** 1279 OAKMEAD PARKWAY  
**SOKOLOFF** SUNNYVALE, CALIFORNIA 94085  
**TAYLOR &** (408) 720-8300 (Telephone)  
**ZAFMAN** (408) 720-8383 (Facsimile)  
A LIMITED LIABILITY  
PARTNERSHIP INCLUDING  
LAW CORPORATIONS

**FACSIMILE TRANSMITTAL SHEET (TRANSMITTAL TO PTO)**

Deliver to: Examiner Michael Opsasnick - Art Unit 2654  
Firm Name: U.S. PATENT AND TRADEMARK OFFICE  
Fax Number: 703-872-9314 Telephone No.: \_\_\_\_\_  
From: Jordan M. Becker  
Date: 8/28/2002 Time: \_\_\_\_\_  
Operator: Julie Arango Matter: 03932.P006X  
Number of pages including cover sheet: 16  
In Re Patent Application of: Michael H. Cohen et al.  
Application No.: 09/412,173  
Filed: October 4, 1999  
For: Method and Apparatus for Optimizing a Spoken Dialog Between a Person  
and a Machine

**Enclosed are the following documents:**

- ☒ Amendment transmittal (2 pgs., in dup.)  
☒ Amendment and Response to Office Action (11 pgs.)

**CERTIFICATE OF TRANSMISSION**

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office

on:

Date of Transmission August 28, 2002Julie Arango

(Typed or printed name of person transmitting paper)

Julie Arango 8/28/02  
(Signature of person transmitting paper)**CONFIDENTIALITY NOTE**

The documents accompanying this facsimile transmission contain information from the law firm of Blakely Sokoloff Taylor & Zafman LLP that is confidential or privileged. The information is intended to be for the use of the individual or entity named on this transmission sheet. If you are not the intended recipient, be aware that any disclosure, copying, distribution, or use of the contents of this faxed information is prohibited. If you have received this facsimile in error, please notify us by telephone immediately so that we can arrange for the retrieval of the original documents at no cost to you.

IF YOU EXPERIENCE ANY DIFFICULTY IN RECEIVING THE ABOVE PAGES, PLEASE CALL (408) 720-8300 AND ASK FOR THE OPERATOR NAMED ABOVE.

(Rev. 11/23/97)

#11/amdt B  
9-502  
P. 08  
K. Powell

Attorney Docket No.: 03932.P006X

Patent

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application for:

Michael H. Cohen et al.

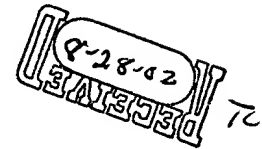
Serial No.: 09/412,173

Filing Date: October 4, 1999

For: METHOD AND APPARATUS FOR  
OPTIMIZING A SPOKEN DIALOG  
BETWEEN A PERSON AND A  
MACHINE

Examiner: Opsasnick, M.

Group Art Unit: 2654



Official

which is a continuation-in-part of:

Serial No.: 09/203,155

Filing Date: December 1, 1998

Assistant Commissioner for Patents  
U.S. Patent and Trademark Office  
Washington, D.C. 20231AMENDMENT AND RESPONSE TO OFFICE ACTION

Sir:

In response to the Office Action mailed on August 13, 2002, please amend the present application as follows and reconsider the present application in view of the remarks below.

IN THE CLAIMS:

Please amend the claims as follows:

- 1 31. (Twice Amended) A method of facilitating operation of a plurality of  
2 interconnected speech-enabled sites on a network, the method comprising:  
3 using a server system on the network to execute a browser for enabling a  
4 user to access the speech-enabled sites; and

B1  
Cont.